

# **Application for Exemption**

Rule 119.16

# Instructions:

A law firm shall obtain and thereafter maintain approval of designation of a Responsible Lawyer and authorization to maintain a trust account and/or a general account unless specifically exempted by the Executive Director, Rule 119.16.

Please submit this form to Trust.Safety@lawsociety.ab.ca. We do not require the original.

### SECTION A – APPLICANT DETAILS

Name:	
(on member card)	
Business Name:	
Street Address:	
City:	Province: Postal Code:
Main Phone:	Fax:
Direct Phone:	
Email:	
(for Law Society use only)	
The area of law practiced is (are) [please insert pe	ercentage]:
Aboriginal	Arbitration
Civil Litigation	Corporate
Employment/Labour	Entertainment
Immigration	International Business
Mediation	Tax
Administrative / Boards / Tribunals	Bankruptcy / Insolvency / Receivership
Commercial	Criminal
Environmental	Estate Planning & Administration
Intellectual Property	Matrimonial / Family
Real Estate Conveyancing	
Other (please specify)	
TOTAL 100%	
	Member ID: (on member card)   Business Name:   Street Address:   City:   Main Phone:   Direct Phone:   Email: (for Law Society use only)   The area of law practiced is (are) [please insert per Aboriginal   Aboriginal   Civil Litigation   Benjoyment/Labour   Mediation   Administrative / Boards / Tribunals   Commercial   Intellectual Property   Real Estate Conveyancing   Other (please specify)

#### 8. The law firm uses the following accounting software for general bank accounting:

The information provided in this form will be used by the Law Society of Alberta for one or more purposes contemplated by the Legal Profession Act, the Rules of the Law Society, the Code of Conduct, or a resolution of the Benchers and will be accessible to all departments of the Law Society, including the Alberta Lawyers Insurance Association. The information may be used or disclosed by the Law Society of Alberta, now or in the future, for regulatory purposes, including Law Society of Alberta investigations and proceedings. We may contact you to obtain additional information, or to obtain clarification on the information you provided. Should you have any questions about this, please contact the Privacy Officer at 403-229-4700.

N/A If not applicable, please provide an explanation:

9. In the course of your employment do you <u>only</u> provide legal services with respect to one or more of the following? Please check any that apply and provide a brief description of the circumstances of your employment.

Director of a private or public company

Officer of a company not engaged in providing legal services to the public

Corporate/Public Secretary

Not applicable

Description:

# **SECTION B – EXEMPTION DETAILS**

1. Designated Year-End:

(month, day will be month end)

2. The reasons for requesting an exemption from maintaining a trust account are:

3. I have made the following arrangements to manage any trust money that I would otherwise receive:

#### GENERAL ACCOUNT EXEMPTION (if applicable)

4. The reasons for requesting an exemption from maintaining a general account are:

5. How do you handle payments to you as a lawyer?

## **SECTION C – DECLARATION**

Ι,

hereby apply for an exemption from the following:

Trust Account

**General Account** 

I acknowledge that unless the law firm obtains and maintains approval for a trust account;

- (a) The law firm and any lawyer practising with the law firm are not permitted to receive trust money, and
- (b) I am responsible in relation to any general account maintained by the law firm to ensure compliance in accordance with Part 5 of the Rules of the Society, unless exempted by the Executive Director.

I undertake that I will at all times during which I am carrying on the practice of law in Alberta, faithfully and to the best of my ability, perform my obligations as a member of the Society under the *Legal Profession Act*, the Rules of the Society, and any code or ethical standards authorized or established by the Benchers of the Society.

### Declaration

Ι.

solemnly declare that all statements made by me in the applications, and in the documents furnished in connection with this application, are correct and I make this solemn declaration conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath.

Declared before me at

On

(mm/dd/yyyy)

A Commissioner for Oaths for the Province of Alberta (Note: If the declaration is made outside Alberta, it must be made before a Notary Public). Applicant Signature